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Patent  
cket No.: P01072US2A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Antkowiak et al.

Title: "Preparation of Functionalized Anionic Polymerization Initiators"

Serial No.: 10/520,989

Group Art Unit:

Filed: 01/10/2005

Examiner:

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO Form SB/08A. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. The present application was filed or entered the U.S. National Stage of the PCT after June 30, 2003. In accordance with the June 11, 2003 Notice waiving the requirements of 37 CFR § 1.98(a)(2)(i), copies of any U.S. patents and patent application publications are not attached.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on:

5/27/05  
Date

Signed Mellie Persin

Respectfully Submitted by:

May 27, 2005  
Date

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